



John Elias Baldacci  
Governor

## Maine Department of Health and Human Services

Office of Adult Mental Health Services  
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Brenda M. Harvey  
Commissioner

Ronald S. Welch  
Mental Health Director

November 2, 2006

Daniel E. Wathen, Court Master  
Pierce, Atwood, Scribner, Allen,  
Smith & Lancaster  
One Monument Square  
Portland, ME 04101-4033

RE: *Bates v. DHHS* – Quarterly Progress Report

Dear Dan:

Enclosed, pursuant to paragraph 280 of the Settlement Agreement, please find the Adult Mental Health Services Quarterly Report for the quarter ending September 30, 2006.

This report is presented in a somewhat different format than we have used for the last two quarters. For Part I on System Development, instead of a narrative as presented before, we have developed a grid that includes all the components of system development outlined in the Consent Decree Plan, listed by plan chapter and page number. As the action steps for each component are completed, they will be checked off in the right hand column. Part II on Operation of the Mental Health System in this report includes the data on Performance and Quality Improvement Standards. This section will probably expand over time, to reflect the results of our analyses of the performance standards data, as well as other quality assurance data such as licensing and contract reviews. The Riverview Performance Improvement Report addressing implementation of Chapter VIII of the Consent Decree Plan is now included as Part III of this report (it was previously Part IV). Any updates on the cost of plan implementation will be included as Part IV in the future, but there are none to report this quarter.

If you or plaintiffs' counsel have any comments or concerns about the new format for Part I of this report, please let us know. We are open to suggestions and can make formatting changes for the next quarter if appropriate.

Sincerely,

Ronald S. Welch, Director  
DHHS, Office of Adult Mental Health Services

cc: Helen Bailey, Esq.  
Peter Darvin, Esq.  
Phyllis Gardiner, Assistant Attorney General  
Kathy Greason, Assistant Attorney General  
Donald Chamberlain, Manager, Office of Adult Mental Health Services  
Marya Faust, Quality Manager

*Our vision is Maine people living safe, healthy and productive lives.*